



**MALTA STOCK EXCHANGE  
INSTITUTE**

**Towards a Brighter  
Future**



# AML/CFT – Spotting the Red Flags

Course No 219

This course aims at providing attendants with the necessary tools and techniques aimed at identifying money laundering machinations and in combatting the funding of terrorism. The course has been developed in an engaging and comprehensive format in order to provide attendees with the appropriate training to meet the regulatory requirements of subject persons whilst providing a practitioner's insight. The course shall focus both on the applicable legislative framework as well as on practical case studies.

Supported by:



**ADVOCATES  
DEGUARA FARRUGIA**

## Sample Topics Covered:

### Legislative Sources

- The AML Directive as amended;
- The Prevention of Money Laundering Act;
- The Prevention of Money Laundering Regulations;
- The Criminal Code

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### The FIAU

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### AML/CFT basics

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### Who are subject persons

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### The offences and associated penalties in relation to AML/CFT

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### Recognising the red flags for AML/CFT

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### The importance of reporting suspicious activity

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# Registration

## Course 219 AML/CFT – Spotting the Red Flags

Name

Position

Company

Address

ID Card

Mobile

Email

The data completed above is being compiled to enable the MSE Institute to communicate with you about the course when necessary.

**Registration fee:** €95 per participant  
(Full time students and senior citizens are eligible to a 50% discount)

## Terms and Conditions

Applicants who are registered for the course are not entitled to a refund if they are unable to attend, but may assign their booking to a 3rd party subject to confirmation by the MSE Institute.

### Malta Stock Exchange plc

Garrison Chapel,  
Castille Place,  
Valletta VLT 1063,  
Malta

**website:** [www.borzamalta.com.mt](http://www.borzamalta.com.mt)  
**email:** [msei@borzamalta.com.mt](mailto:msei@borzamalta.com.mt)  
**tel:** +356 21 244 051

## General Information

**Time:** 1400 – 1600hrs  
**Venue:** Malta Stock Exchange  
**Level:** Intermediate  
**Language:** English

## Schedule

**Duration:** 4 hours  
**Dates:** 6, 8 November

## Target Audience

All practitioners working in the compliance/AML, CSPs, accountants, lawyers and persons working in the financial services, real estate, brokerage, and advisory sectors.

## Lecturer

Dr Johan Farrugia



Dr Johan Farrugia is a qualified lawyer and a Lead Senior Associate at DF Advocates. Having obtained his Doctor of Laws degree from the University of Malta in 2012 and his subsequent admission to the bar, Dr Farrugia's line of expertise focuses primarily on financial services. Johan Farrugia trained and worked in both civil and criminal litigation in private practice and subsequently joined the Malta Financial Services Authority as a legal research analyst within the Regulatory Development Unit whereby he worked on cross-sectoral issues of a macro-prudential nature affecting the financial services industry with particular

focus on the banking sector. Dr Johan Farrugia joined DF Advocates in 2016 with his principle areas of practice being capital markets, investment services, banking and Fintech.

## Payments by bank transfer

**Bank:** Bank of Valletta plc  
**IBAN Code:** MT04VALL22013000000040025119059  
**IBAN BIC:** VALLMTMT

Kindly insert your NAME, SURNAME, ID CARD NUMBER and COURSE NUMBER in the transaction narrative.

This application is to be accompanied by payment or proof of payment by bank transfer.