



**MALTA STOCK EXCHANGE
INSTITUTE**

**TOWARDS
A BRIGHTER
FUTURE**

AML/CFT – Spotting the Red Flags

Course No 219

This course aims at providing attendants with the necessary tools and techniques aimed at identifying money laundering machinations and in combatting the funding of terrorism. The course has been developed in an engaging and comprehensive format to provide attendees with the appropriate training to meet the regulatory requirements of subject persons while providing a practitioner's insight. The course focuses both on the applicable legislative framework as well as on practical case studies.

Supported by:



**ADVOCATES
DEGUARA FARRUGIA**

Sample Topics Covered:

Legislative Sources

- The AML Directive as amended;
- The Prevention of Money Laundering Act;
- The Prevention of Money Laundering Regulations;
- The Criminal Code

The FIAU

AML/CFT basics

Who are subject persons

The offences and associated penalties in relation to AML/CFT

The fundamentals of a risk-based approach

Recognising the red flags for AML/CFT

The role of the reporting officer

Name

Position

Company

Company Email

Address

ID Card

Mobile

Applicant Email

The data completed above is being compiled to enable the MSE Institute to communicate with you about the course when necessary.

Registration fee: €95 per participant (Full time students and senior citizens are eligible to a 50% discount). Group bookings of 4 or more persons from the same organisation attract a 10% discount.

Terms and Conditions

Applicants who are registered for the course are not entitled to a refund if they are unable to attend, but may assign their booking to a 3rd party subject to confirmation by the MSE Institute.

Malta Stock Exchange Institute Ltd.

Garrison Chapel,
Castille Place,
Valletta VLT 1063,
Malta

website: www.borzamalta.com.mt

email: msei@borzamalta.com.mt

tel: +356 21 244 051

General Information

Time: 14.00 - 16.00hrs
Venue: Malta Stock Exchange
Level: Intermediate

Schedule

Duration: 4 hours

Date: 25, 26 May
 29, 30 September

Target Audience

Directors and officers of private as well as public companies, corporate services providers, advisers, lawyers and accountants will all find this course highly interesting and useful.

Lecturer

Dr Johan Farrugia

Dr Johan Farrugia is a qualified lawyer and a Lead Senior Associate at DF Advocates. Having obtained his Doctor of Laws degree from the University of Malta in 2012 and his subsequent admission to the bar, Dr Farrugia's line of expertise focuses primarily on financial services. Johan Farrugia trained and worked in both civil and criminal litigation in private practice and subsequently joined the Malta Financial Services Authority as a legal research analyst within the Regulatory Development Unit whereby he worked on cross-sectoral issues of a macro-prudential nature affecting the financial services industry with particular

focus on the banking sector. Dr Johan Farrugia joined DF Advocates in 2016 with his principle areas of practice being capital markets, investment services, banking and Fintech.

Cheques to be made payable to: Malta Stock Exchange Institute Ltd.

Payments by bank transfer

Bank: Bank of Valletta plc
IBAN Code: MT04VALL22013000000040025119059
IBAN BIC: VALLMTMT

Kindly insert your NAME, SURNAME, ID CARD NUMBER and COURSE NUMBER in the transaction narrative.

This application is to be accompanied by payment or proof of payment by bank transfer.